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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(MBHB00,888-K)

In re the Application of: )  
Sullenger, et al. ) Group Art Unit: 1623  
Serial No.: 10/799,535 ) Examiner: To Be Assigned  
Filed: March 12, 2004 )  
For: Alteration of Sequence of a Target )  
Molecule by Ribozyme Catalyzed )  
Trans-splicing )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313


TRANSMITTAL LETTER

In regard to the above identified application:

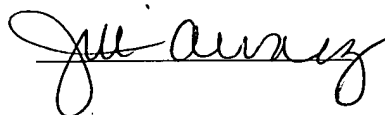
1. We are transmitting herewith the attached:
  - a) Information Disclosure Statement;
  - b) Form PTO-1449;
  - c) Postcard.
2. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490.

Respectfully submitted,

McDONNELL BOEHNEN  
HULBERT & BERGHOFF

  
Patrick G. Gattari  
Reg. No. 39,682

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this 1<sup>st</sup> day of October, 2004.





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**INFORMATION DISCLOSURE STATEMENT**

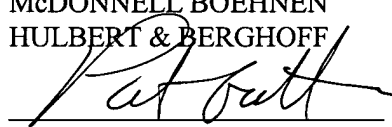
Dear Sir:

This prior art statement is filed under 37 C.F.R. §§1.97-1.98 in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

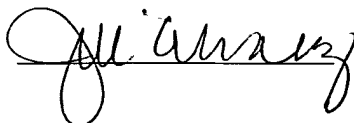
Pursuant to the duty of disclosure provided by 37 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. This application is a continuation of US Serial No. 09/165,514 filed October 2, 1998 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 CFR §1.98(d), all references have been previously cited and submitted to the Patent and Trademark Office with the prior application USSN 09/165,514 and no references are enclosed herein. All references cited are also listed in the PTO-1449 form enclosed herewith.

Respectfully submitted,

McDONNELL BOEHNEN  
HULBERT & BERGHOFF

  
Patrick G. Gattari  
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FORM PTO-1449  
Commerce (Rev. 2-32)

U. S. Department of  
Patent and Trademark Office

Atty. Docket No.

Serial No.

00-888-K

10/799,535

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several Sheets is Necessary)

**Applicant: Sullenger, et al.**

**Filing Date:**  
March 12, 2004

**Group:**

**U.S. PATENT APPLICATION DOCUMENTS**

Examiner Initial	Document Number	Filing Date	Name	Class	Subclass	Publication Date if Appropriate

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5,126,260	06-1992	Tuan, et al			
	5,225,337	07-1993	Robertson			
	5,869,254	02-1999	Sullenger			
	5,667,969	09-1997	Sullenger			
	5,225,337	07/06/93	Robertson			
	5,389,514	02/15/95	Taylor			
	5,869,254	02/09/99	Sullenger			
	4,987,017	01/22/91	Cech, et al			
	5,641,673	06/24/97	Haseloff, et al			
	5,498,531	03/12/97	Jarrell			

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Translation	
							Yes	No
		92/13090	08-1992	PCT				
		93/00833	05-1993	WO/PCT				
		90/01731	1990	EPO				
		88/04300	06-1988	WO/PCT				
		92/13089	08-1992	WO/PCT				
		92/07065	08-1992	WO/PCT				

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).**

	Weber et al., "Antiviral properties of a dominant negative mutant of the herpes simplex virus type 1 regulatory protein ICP0," <i>Journal of General Virology</i> 73:2955-2961 (1992).
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